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Impact of Frequency Shift on Nonlinear Compensation using Optical Phase Conjugation for M-QAM Signals

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Abstract– Nonlinear compensation using optical phase conjugation (OPC) have been considered a promising technique to increase the reach of high-speed fiber-optic transmission systems. OPC-based nonlinear compensation employs an optical phase conjugation located at a middle of the fiber link to generate a complexed conjugated signal with respect to the signal in the first half of the link for propagation in the second half. OPC technique assumes a symmetry for signal propagating in the first and second half to obtain a perfect nonlinear and chromatic dispersion. However, as most of practical OPC schemes are realized by nonlinear effects such as four-wave mixing or a combination of second-harmonic generation and difference frequency generation, the frequency shift induced by OPC affects the signal symmetrical requirement for nonlinear compensation because the chromatic dispersion is different for the first and second half transmissions. In this paper, we investigate the impact of frequency shift on the nonlinear compensation using OPC for high symbol rate, high level modulation format signals. This will be important to understand the tolerance of the OPC techniques against such a practical condition for actual system implementations.

Keywords– Fiber optics communications, Optical phase conjugation, Nonlinear compensation, Four-wave mixing.

1 INTRODUCTION

The global data traffic has been growing exponentially over the last three decades, driven by the emerging of many bandwidth hungry applications, e.g. cloud computing, video on demand, IoT, 5G, and Big Data [1, 2]. This tremendous growth has put a lot of pressure on today's information networks where fiber-optic communication systems have been widely adopted as a backbone architecture. As a result, improving the capacity and the reach of fiber-optic transmission systems is very necessary to satisfy the ever-increasing data capacity demands. Over the last decade, a lot of research effort has been made in understanding the capacity of point-to-point optical channel [3]. However, the ultimate capacity and performance of the optical channel are still unknown due to the fiber nonlinear Kerr effects such as self-phase modulation, cross-phase modulation, and four-wave mixing [4]. These effects are particularly severer when the symbol rate, modulation format level, or/and number of channels are increased for larger data capacity transmissions.

There have been many research works focusing on removing the Kerr-induced nonlinear distortion through digital and optical compensation techniques, such as digital back propagation (DBP) [5, 6], optical phase conjugation (OPC) [7–11]. DBP uses digital signal processing (DSP) technology in digital coherent receiver.

However, the computing power required to conduct DBP for the transmission of multiple wavelength division multiplexing (WDM) channels is beyond the real-time computing power of the current DSP technology. In practice, the DBP is usually done for a single channel with narrow bandwidth. Therefore, the effectiveness of the DBP is still limited. Another common method is to use an OPC located in the middle of the path to compensate for nonlinear distortion [7–11]. Unlike the DBP, OPC has broadband, which can operate on multiple WDM signals simultaneously, so this technique has higher energy efficiency. Several studies have shown that, in addition to the dispersion and Kerr effect compensation, the efficiency of the OPC will increase if multiple OPCs are used on the transmission line, for example, the ability to compress the time and phase translations in the Soliton transmission system [12, 13]. Studies have also shown that distortion of the nonlinear signal phase can be reduced through the use of multiple OPCs on the pathway by the nonlinear interactions between the signal and the amplified spontaneous emission (ASE) partially compressed through the OPC layers [14].

In principle, OPC technique requires a symmetry for signal propagating in the first and second half to obtain a perfect nonlinear and chromatic dispersion [15, 16]. However, as most of practical OPC schemes are realized by nonlinear effects such as four-wave mixing [7–11]

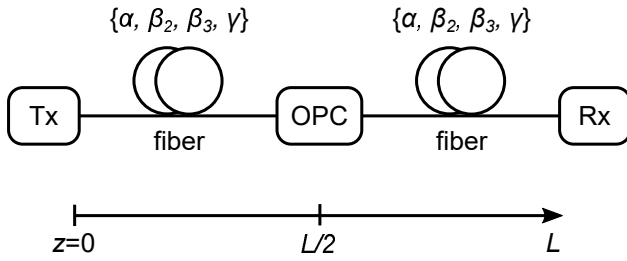


Figure 1. Schematic diagram of OPC-based transmission.

or a combination of second-harmonic generation and difference frequency generation [17], the signal is converted to another wavelength after OPC due to the nature of these nonlinear processes. Such a frequency shift induced by OPC affects the signal symmetrical requirement for nonlinear compensation because the chromatic dispersion is different for the first and second half transmissions. This effect of frequency shift due to OPC was often ignored, as most of the experiments reported so far have considered a quite low baudrate up to 50 Gbaud. However, as the symbol rate and the number of WDM channels increase, the effectiveness of OPC-based nonlinear compensation under the impact of frequency shift should be considered for the future applications of this technique for practical systems.

In this paper, we investigate the impact of frequency shift on the nonlinear compensation using OPC for high symbol rate, high level modulation format signals. At first, we present the principle of fiber nonlinear compensation using OPC. We, then, introduce the principle of OPC realization using four-wave mixing effect in fiber as well as the frequency shift caused by OPC. After that, performance of an OPC-based transmission systems is investigated through simulations under the impact of frequency shift for QPSK and 16-QAM signals. The simulation results show that while a large tolerance of OPC technique against frequency shift is obtained for signals at symbol rates below 50 Gbaud, the tolerance is quickly reduced for 100 Gbaud signal. Increasing the modulation format level from QPSK to 16-QAM also decreases the effectiveness of nonlinear compensation, however, its impact is less significant than that of increase in the symbol rate.

2 ALL-OPTICAL NONLINEAR COMPENSATION USING OPTICAL PHASE CONJUGATION

2.1 Operating Principle

Optical phase conjugation was first proposed and demonstrated for compensation of signal distortions due to chromatic dispersion and fiber nonlinearities since 1979 [18–20]. Figure 1 illustrates the schematic of a fiber-optics communication system using optical phase conjugation (OPC) for nonlinear compensation. The OPC is used to conjugate the optical signal at the mid-point of a fiber link with a purpose to reverse or compensate the signal distortions due to fiber dispersion and nonlinearity occurring in the first half of

the link (span 1) through the second-half transmission (span 2). Theoretically, the nonlinear compensation process using OPC can be explained through nonlinear Schrödinger equation (NLSE) as follow:

$$\frac{\partial A}{\partial z} = -\frac{\alpha(z) - g(z)}{2}A - \frac{i}{2}\beta_2\frac{\partial^2 A}{\partial t^2} + \frac{1}{6}\beta_3\frac{\partial^3 A}{\partial t^3} + i\gamma|A|^2A, \quad (1)$$

where A is the electric field amplitude which is a function of time, t , and propagation distance z , i is the imaginary unit, α , g , β_2 , β_3 , γ , respectively, are the loss coefficient, the gain coefficient, the group-velocity dispersion (GVD), the third-order dispersion (TOD), and the fiber nonlinear Kerr coefficient of the transmission link. Optical signal propagating over the first half of the link is achieved by integrating Equation (1) from the transmitter ($z = 0$) to mid-point of the link ($z = L/2$). In back propagation, the optical signal is given through reverse integration of Equation (1) which can be done by changing the sign of the right hand side of Equation (1) as follow [15]:

$$\frac{\partial A}{\partial z} = +\frac{\alpha(z) - g(z)}{2}A + \frac{i}{2}\beta_2\frac{\partial^2 A}{\partial t^2} - \frac{1}{6}\beta_3\frac{\partial^3 A}{\partial t^3} - i\gamma|A|^2A. \quad (2)$$

Equation (2), which is the time reversal of Equation (1), is not possible in practice and can only be solved numerically in the digital domain, the so-called digital back propagation. In DBP, the fiber link is divided into many small segments where the linear operation (chromatic dispersion) and nonlinear operation (fiber nonlinearity) can be treated separately [16]. Achieving the true solution of Equation (2) by DBP requires a perfect knowledge of link parameters (α , g , β_2 , β_3 , γ) and an infinite number of segments, which consume huge amount of computation resources and large latency, especially for WDM and long-haul fiber transmissions. Alternatively, one can use optical phase conjugation in optical domain at the mid-point of a fiber link instead of DBP. Optical signal after the phase conjugation can be expressed by conjugating both sides of Equation (1) as follows. Note that since Equation (1) is generally valid, its complex-conjugated form must also be valid [15]:

$$\frac{\partial A^*}{\partial z} = -\frac{\alpha(z) - g(z)}{2}A^* + \frac{i}{2}\beta_2\frac{\partial^2 A^*}{\partial t^2} + \frac{1}{6}\beta_3\frac{\partial^3 A^*}{\partial t^3} - i\gamma|A|^2A^*, \quad (3)$$

where $*$ denotes the complex conjugate operation. Comparing with Equation (2), we can see that Equation (3) show the back propagation of the signal through the second half of the fiber link if α and β_3 in Equation (3) have the same absolute value with different sign from those in Equation (2). In other words, under appropriate conditions discussed below, the signal distortions induced by chromatic dispersion and nonlinearity after the first half of the link can be compensated through the propagation of the phase

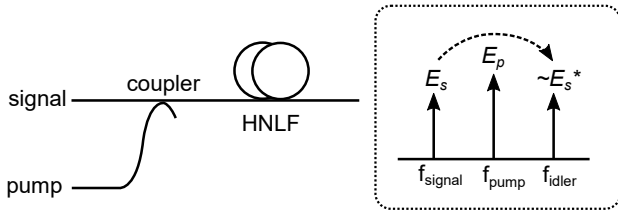


Figure 2. Configuration of OPC using FWM in HNLF.

conjugated optical signal in the second half. From Equations (2) and (3), the following link conditions should be met to achieve a perfect compensation via mid-link OPC:

$$\begin{aligned}
 G^{(1)}(z) &= G^{(2)}(L - z) \text{ where } G(z) = \int_0^z [\alpha(s) - g(s)] ds, \\
 \beta_2^{(1)}(z) &= \beta_2^{(2)}(L - z), \\
 \beta_3^{(1)}(z) &= -\beta_3^{(2)}(L - z), \\
 \gamma^{(1)}(z) &= \gamma^{(2)}(L - z),
 \end{aligned} \quad (4)$$

where superscripts (1) and (2) denote the first and second half of the link, respectively, and L is the total distance and the OPC is located at $z = L/2$. As a result, to perfectly cancel the loss, GVD, TOD, and Kerr nonlinearities, the dispersion and power excursion profiles along the link should be symmetrical with respect to the mid-point ($z = L/2$). Failure in meeting any of the above conditions would lead to the imperfect compensation of the signal distortion.

2.2 Optical Phase Conjugation using Four-Wave Mixing

Two optical waves are phase conjugated to each other if their complex amplitudes are conjugated with respect to the phase parameter. Generation of optical phase conjugated waves can be carried out through various optical nonlinear effects in nonlinear media such as four-wave mixing (FWM), cascaded second-harmonic generation and difference frequency generation (SHG DFG), backward stimulated scattering and so on. For applications in fiber-optics communication systems, FWM in highly nonlinear fiber (HNLF) is widely utilized to implement optical phase conjugation for its key features including format independence, waveband operation and high cascadability [21]. Figure 2 shows a configuration of OPC using FWM in HNLF. An input signal E_s at frequency f_{signal} and a pump signal E_p at frequency f_{pump} are combined by an optical coupler before launched into a HNLF. When the power of the pump signal is large enough, the degenerated FWM between E_s and E_p in HNLF occurs, resulting in a generation of an idler E_i at a frequency f_{idler} , which are formulated as follows:

$$E_i \propto E_p^2 E_s^*, \quad (5)$$

$$f_{\text{idler}} = 2f_{\text{pump}} - f_{\text{signal}}. \quad (6)$$

As a result, the idler E_i is a phase conjugation of the input signal E_s , and can be used for the propagation

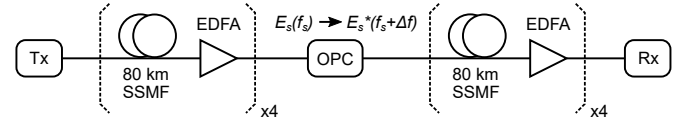


Figure 3. Configuration of OPC-based transmission system used for simulation.

through the second half of the OPC-based link. On the other hand, similarly to other techniques used for optical phase conjugation, the frequency of the conjugated idler via FWM is shifted by an amount of $\Delta f = |f_{\text{idler}} - f_{\text{signal}}|$ with respect to that of input signal as shown in Equation (6). This frequency shift violates the nonlinear compensation principle of OPC in Equation (4). Particularly, it modifies the dispersion in the second-half propagation, and thus the symmetrical properties required for OPC. The objective of this paper is to investigate the performance of the nonlinear compensation system using OPC under the impacts of frequency shift for high symbol-rate, phase modulated long-distance transmission systems.

3 SYSTEM CONFIGURATION

Figure 3 shows configuration of the OPC-based transmission system used for numerical investigation. Simulation parameters are selected after the system in [16]. We use a widely deployed erbium-doped fiber amplifier (EDFA) amplification fiber link, consisting of 10 spans of 80 km single mode fiber (SMF) and an EDFA. The loss, dispersion, dispersion slope, and nonlinearity coefficients of the fiber are $\alpha = 0.2$ dB/km, $D = 17$ ps/km/nm (at 1550 nm), $S = 0.075$ ps/km/nm² (at 1550 nm), and $\gamma = 1.2$ W⁻¹km⁻¹, respectively. EDFA with noise figure of 6 dB is used to fully compensate the fiber loss of each span. An OPC is located in the middle of the link after 400 km transmission. Beside the function of phase conjugation, OPC also generates a frequency shift $\Delta f = |f_{\text{idler}} - f_{\text{signal}}|$ for the conjugated output signal with respect to the input signal.

In the simulation, two phase modulated signals including quadrature phase shift keying (QPSK) and 16 quadrature amplitude modulation (QAM) at symbol rates of 25 Gbaud, 50 Gbaud and 100 Gbaud at wavelength of 1550 nm are used. Compared to other higher order modulation formats, QPSK and 16 QAM are well-studied in the literature for long-distance transmissions. While QPSK has been adopted to 100 Gbit/s optical transceivers for commercial optical fiber communication systems, 16-QAM is considered a candidate for the next 400 Gbit/s transceiver. At the receiver, the signal is detected by a digital coherent reception scheme with standard digital signal processing steps such as carrier phase and frequency recovery, timing recovery, and chromatic dispersion compensation (DC). Error vector magnitude (EVM) value, bit error rate (BER), and Q factor of the detected signal are calculated from the

received constellation diagram as follows [22]:

$$EVM = \frac{\frac{1}{N} \sum_{n=1}^N \|S_n - S_{0,n}\|^2}{\frac{1}{N} \sum_{n=1}^N \|S_{0,n}\|^2}, \quad (7)$$

$$BER = \frac{(1 - M^{-1/2})}{\frac{1}{2} \log_2 M} \cdot \operatorname{erfc} \left[\sqrt{\frac{\frac{3}{2}}{(M-1)EVM^2 \cdot k^2}} \right], \quad (8)$$

$$Q = 20 \lg(\sqrt{2}(\operatorname{erfcinv}(2BER))), \quad (9)$$

where S_n is the normalized n th symbol in the stream of measured symbols, $S_{0,n}$ is the ideal normalized constellation point of the n th symbol, N is the number of unique symbols in the constellation, M is the number of points on the signal constellation. k is the coefficient depending on the type of modulation, and calculated according to the table below:

Table I
THE CALCULATION OF k WITH SOME MODULATION

| Format: | QPSK | 16QAM | 32QAM | 64QAM |
|---------|------|--------------|----------------|--------------|
| k: | 1 | $\sqrt{9/5}$ | $\sqrt{17/10}$ | $\sqrt{7/3}$ |

4 RESULTS AND DISCUSSION

The performance of nonlinear compensation using OPC is investigated through two system scenarios including single-channel and multiple-channel transmissions of high-baudrate, quadrature phase shift keying (QPSK) signals. While the performance of signal-channel systems provides a baseline to understand and compare the effect of individual factors to the system, the multiple-channel transmission scenario brings compound impact on the effectiveness of OPC for higher capacity wavelength-division multiplexed systems.

4.1 Single-channel OPC-based Transmissions

To meet the ever-increasing capacity demands in an economically attractive manner, the data rate per WDM channel has been increasing exponentially over the last two decades [23]. Single channel data rate can be increased by pushing both the symbol rate (50 Gbaud or higher) and the modulation format order of M-quadrature amplitude modulation (M-QAM), e.g. QPSK and 16-QAM [24], which in principle, require significant improvement in hardware implementation, e.g. electronic bandwidth, for higher signal-to-noise ratio. Even though the OPC-based nonlinear compensation is considered a promising technique to improve the transmission reach for such high-baudrate, advanced modulation format signals, its effectiveness under the practical condition of OPC, here, the frequency shifting effect, is one of the important issues to investigate.

4.1.1 Quadrature Phase Shift Keying (QPSK): Figures 4 and 5 show the performance of QPSK signal at 50 Gbaud and 100 Gbaud, respectively, after 800 km SSMF transmission as functions of the launched power. Frequency shifts of 300 GHz and 600 GHz are applied

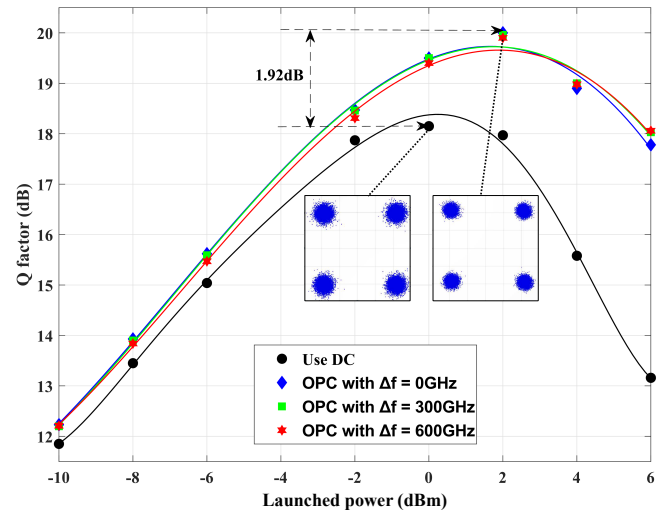


Figure 4. Q factor of 50 Gbaud QPSK signal as a function of launched power in single-channel OPC-based 800 km transmission. Insets are constellations at nonlinear thresholds.

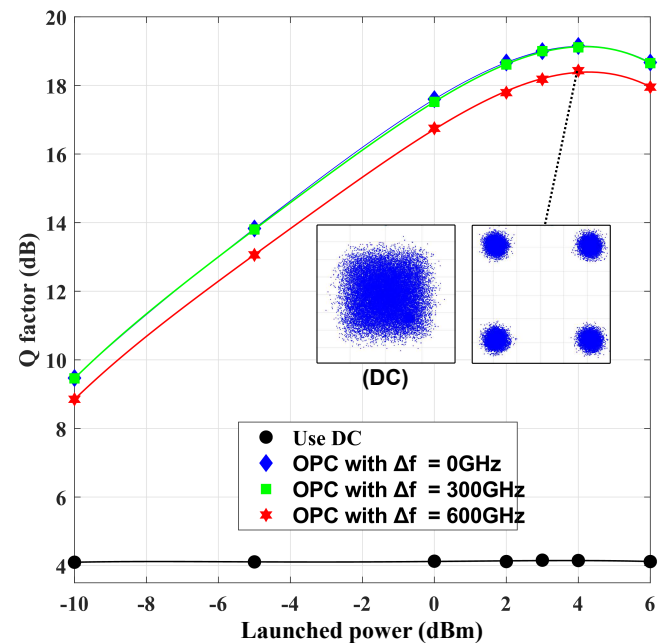


Figure 5. Q factor of 100 Gbaud QPSK signal as a function of launched power in single-channel OPC-based 800 km transmission. Insets are constellations at nonlinear thresholds.

for the signal after OPC. Use DC stands for the use of dispersion compensation only without OPC. We can see that there is an optimal launched power for each case of transmission. This level is called the nonlinear threshold, where the fiber nonlinear effects overwhelmed the optical SNR increase due to the increasing of launched power. For 50 Gbaud QPSK signal (100 Gb/s data rate), the nonlinear threshold is increased from 0 dBm to 2 dBm, making the Q factor increased by 1.92 dB through the use of OPC with respect to the case of dispersion compensation only. Insets of Figure 4 are constellations of the 50 Gbaud QPSK signal in the case without and with the use of OPC. For 100 Gbaud QPSK signal (200 Gb/s data rate), it is almost not possible to transmit the signal over 800 km SSMF by using only

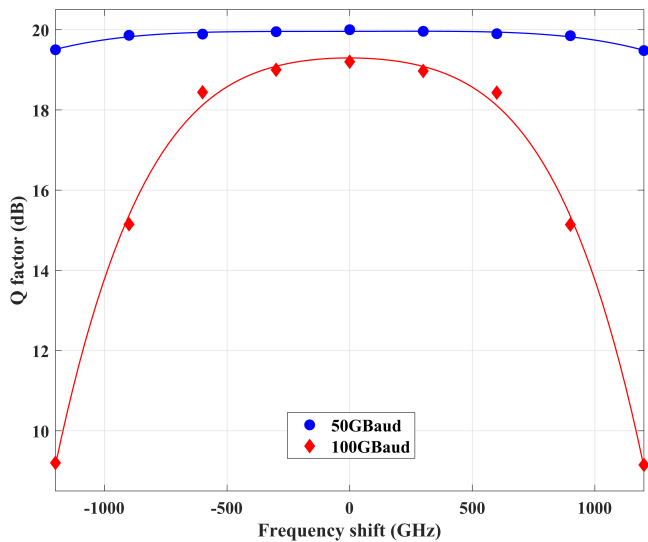


Figure 6. Tolerance of OPC-based nonlinear compensation against frequency shift for single-channel QPSK 800 km transmission.

dispersion compensation because the signal at such a high baudrate requires high optical signal to noise ratio (OSNR), but increasing the launched power was not possible due to fiber nonlinearities. On the other hand, the use of OPC significantly improves the Q factor to over 18 dB (see constellations in the insets of Figure 5). These results clearly show the effect of nonlinear compensation by using OPC, especially for high symbol rate signal. As for the effect of frequency shifts after OPC, the performance differences between 0 GHz, 300 GHz and 600 GHz shifting are negligible for 50 Gbaud QPSK signal while there is a small degradation for 100 Gbaud QPSK signal at 600 GHz frequency shift.

To further investigate the tolerance of the nonlinear compensation using OPC technique for QPSK signal, we evaluate the transmission performance over 800 km SSMF as a function of OPC-induced frequency shift as shown in Figure 6. We can see that the performance of 50 Gbaud QPSK signal has a strong tolerance against the frequency shift, in which the Q factor degrades by less than 1 dB within ± 1200 GHz frequency shift range. On the other hand, the 100 Gbaud QPSK signal shows a strong tolerance within ± 600 GHz frequency shift range. Beyond that range, the transmission performance of OPC system is quickly degraded. These results can be explained that at high symbol rates, e.g. 100 Gbaud, the signal is very sensitive to chromatic dispersion, the signal symmetry with respect to the middle point of the link is no longer maintained due to the frequency shift by OPC. Therefore, the effectiveness of nonlinear compensation using OPC is quickly degraded for high symbol rate signal. The lower symbol rate signals, e.g. 50 Gbaud, on the other hand, is quite tolerant to chromatic dispersion, and thus it is also tolerant to the frequency shift by OPC.

4.1.2 16-Quadrature Amplitude Modulation (16-QAM): M-Quadrature amplitude modulation (M-QAM) is one of the advanced modulation formats to improve the spectral efficiency, increasing the data rate while keep-

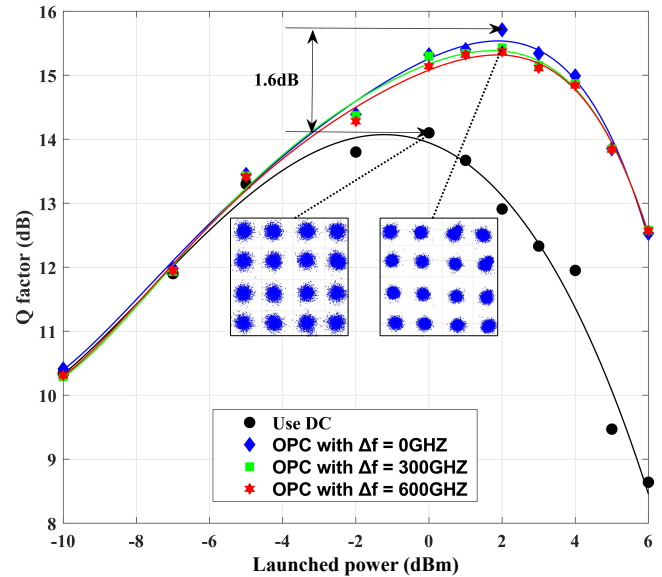


Figure 7. Q factor of 25 Gbaud 16-QAM signal as a function of launched power in single-channel OPC-based 800 km transmission. Insets are constellations at nonlinear thresholds.

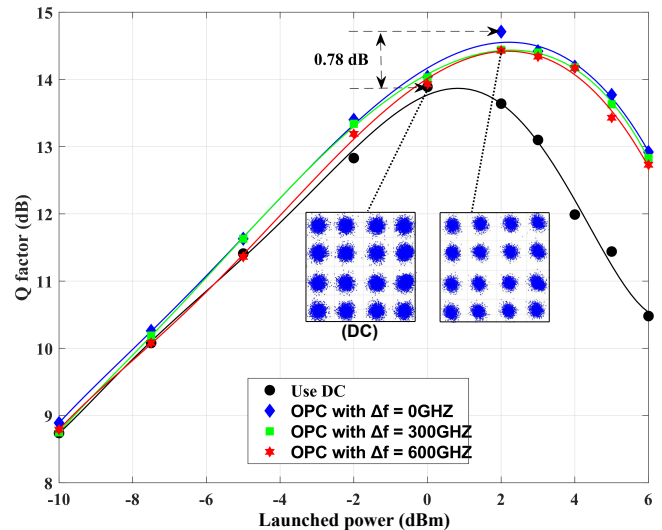


Figure 8. Q factor of 50 Gbaud 16-QAM signal as a function of launched power in single-channel OPC-based 800 km transmission. Insets are constellations at nonlinear thresholds.

ing the same spectral occupation. For example, using 16-QAM increases the data rate by 4 times. Figures 7 and 8 present 800 km transmission performance of 16-QAM signal as functions of the launched power for 25 Gbaud (100 Gb/s) and 50 Gbaud (200 Gb/s), respectively. Similarly, we also apply different frequency shifts of 300 GHz and 600 GHz for the signal after OPC. As can be seen from Figures 7 and 8, using OPC helps to improve the nonlinear threshold from 0 dBm to 2 dBm, and also improve the Q factor by 1.6 dB and 0.78 dB with respect to the case without using OPC (only dispersion compensation) for 25 Gbaud and 50 Gbaud signals, respectively. Such improvements can also be seen through the improvement in the constellations as shown in the insets of Figures 7 and 8. In addition, we can see that the adding of frequency shifts of 300 GHz and 600 GHz to the signal after OPC has a small impact

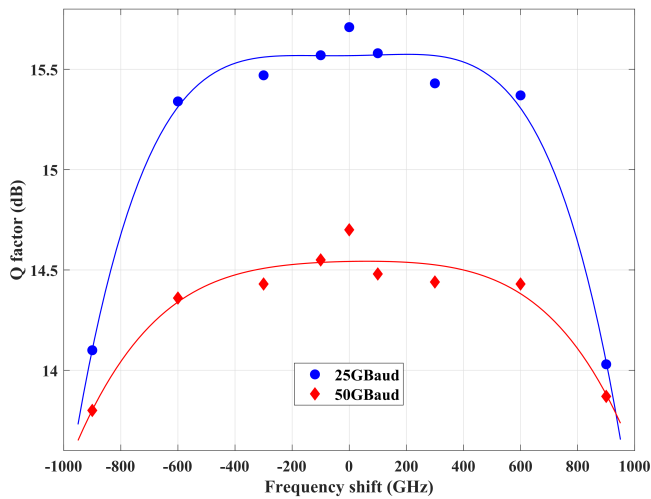


Figure 9. Tolerance of OPC-based nonlinear compensation against frequency shift for single-channel 16-QAM 800 km transmission.

on the transmission performance and the nonlinear compensation.

Next, the tolerance of the nonlinear compensation using OPC against the frequency shift is further investigated for 25 Gbaud and 50 Gbaud signals. The results are shown in Figure 9. We can see that the 25 Gbaud and 50 Gbaud signals both exhibit a strong tolerance to the frequency shift by OPC. Within ± 600 GHz frequency shift range, Q factor varies within a small range of 0.5 dB for both cases. Such frequency shift tolerances come from the fact that at low symbol rates, e.g. 25 Gbaud or 50 Gbaud, the signals are less sensitive to the chromatic dispersion. The dispersion of these signals before and after OPC is not significantly changed for the frequency shift within ± 600 GHz. As a result, the effectiveness of nonlinear compensation using OPC is maintained. In addition, compared with the signal of the same symbol rate at 50 Gbaud (see Figures 6 and 9), the increase in the modulation format level from 4 (QPSK) to 16 (M-QAM) reduces the tolerance of OPC-based transmission against frequency shift. However, the impact of increase in the modulation format level is less significant than that of increase in the symbol rate (see Figure 6). Therefore, for OPC-based transmission system, it is recommended to increase the data rate of a single channel by pushing the modulation format level (M-QAM) rather than by increasing the symbol rate due to the strong effect of chromatic dispersion on high symbol rate signals.

4.2 Multiple-Channel Transmissions

In this section, we investigate the impact of frequency shift on multiple-channel OPC-based nonlinear compensation. Four channels at frequencies of 193.1 THz (channel 1), 193.2 THz (channel 2), 193.3 THz (channel 3), and 193.4 THz (channel 4) are wavelength-division multiplexed and transmitted through the OPC-based transmission system in Figure 3. The transmission distance is 800 km. Figures 10 and 11 shows Q factor of four channels at the receiver sides for 50 Gbaud QPSK

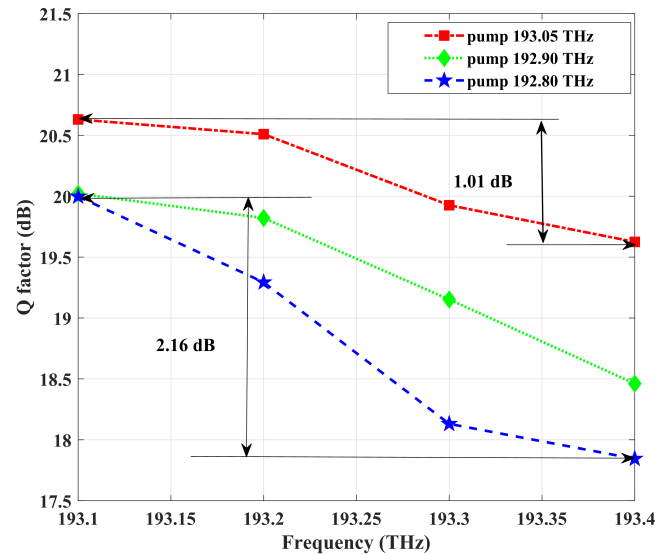


Figure 10. Q factor of four WDM 50 Gbaud QPSK channels after 800 km OPC-based transmission at different pump frequency settings.

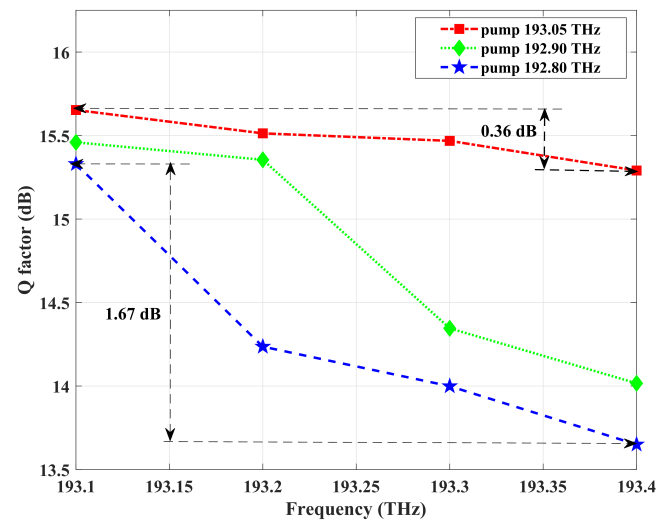


Figure 11. Q factor of four WDM 25 Gbaud 16-QAM channels after 800 km OPC-based transmission at different pump frequency settings.

(100 Gb/s) and 25 Gbaud 16-QAM (100 Gb/s) signals, respectively. Four cases of OPC pump frequency settings: 193.05 THz, 192.9 THz and 192.8 THz are considered. Frequencies of the converted channels after OPC are different, following Equation (6). Therefore, different frequency shifts are induced for each WDM channels, in which the further to the pump the channel is located, the larger the frequency shift Δf becomes after OPC. As can be seen in Figures 10 and 11, Q factor is gradually degraded for channels located further to the pump because the larger frequency shift degrades the effectiveness of nonlinear compensation using OPC.

Furthermore, when the pump is set further to the WDM channels, Q factors of the received WDM channels are decreased, and the Q-factor variation between the best and worst channels is also increased. For 50 Gbaud QPSK signal the Q-factor variation increases from 1.01 dB to 2.16 dB when the pump frequency increases from 193.05 THz to 192.8 THz (see Figure 10).

For 25 Gbaud 16-QAM signal, as seen in Figure 11 the Q-factor variation is 0.36 dB and 1.67 dB for pump frequency of 193.05 THz and 192.8 THz, respectively. These results are due to the fact that at lower symbol rate the impact of frequency shift on the OPC-based nonlinear compensation is smaller because the lower symbol rate signal is less sensitive to chromatic dispersion. These results are consistent to the ones in Section 4.1 when considering the effectiveness of nonlinear compensation on factors such as symbol rate and modulation format order.

5 CONCLUSION

We have presented the impact of frequency shift on the nonlinear compensation using OPC for high symbol rate, high level modulation format signals. Simulations have been carried out to investigate the performance of an OPC-based transmission systems using QPSK and 16-QAM signals. The simulation results confirm the benefits of OPC in compensating the signal distortions due to fiber nonlinear and chromatic dispersion. It also shows a large tolerance of OPC technique against frequency shift for signals at symbol rates below 50 Gbaud. However, the tolerance is degraded for higher symbol rate signal at 100 Gbaud. Increasing the modulation format level from QPSK to 16-QAM also causes degradation of the effectiveness of nonlinear compensation, however, its impact is less significant than that of increase in the symbol rate.

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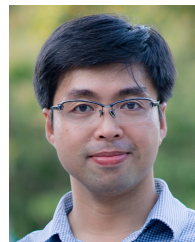
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